

# **Uncertainties in Representations of Model Processes**

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# 提纲

- Resolution dependency (Bryan's work, Hue Morrison's work, Funning tornado simulation, May 20, 2013 tornado simulations, hail prediction), CAM, CRM, LES
- Corey's FV3 comparisons?
- Microphysics
- PBL parameterizations (Hu, Sobash comparison papers, Chunxi's FV comparisons, Hu recent results, Zhou's paper,)
- SGS turbulence parameterization (Sun Shiwei's results, related papers)
- Radiation-cloud interactions, cumulus scheme
- Land surface model/hydrology model/urban processes
- Gravity wave drag
- IC versions physics perturbations (Mandy's work)

# Components in Atmospheric Models

- Model equations, dynamic core
- Parameterization of SGS processes, a.k.a. model physics
  - Cloud/precipitation physics/microphysics
  - PBL turbulence and SGS turbulence
  - Land/Ocean/Ice surface fluxes/surface layer physics
  - Land surface/Urban canopy/Vegetation/Ocean/Sea ice models
  - Radiation physics, cloud/aerosol interactions
  - Chemical processes and effects on cloud and radiation physics

# Most important components for short-range weather forecasting

- Model equations, **dynamic core**
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  - **Microphysics**
  - **PBL and SGS turbulence** parameterizations
  - **Surface layer physics**
  - **Land surface model**
  - **Radiation and cloud interactions**

# Most important components for short-range weather forecasting

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  - Microphysics
  - **PBL and SGS turbulence parameterizations**
  - Surface layer physics
  - Land surface model
  - Radiation and cloud interactions

# Dynamic core

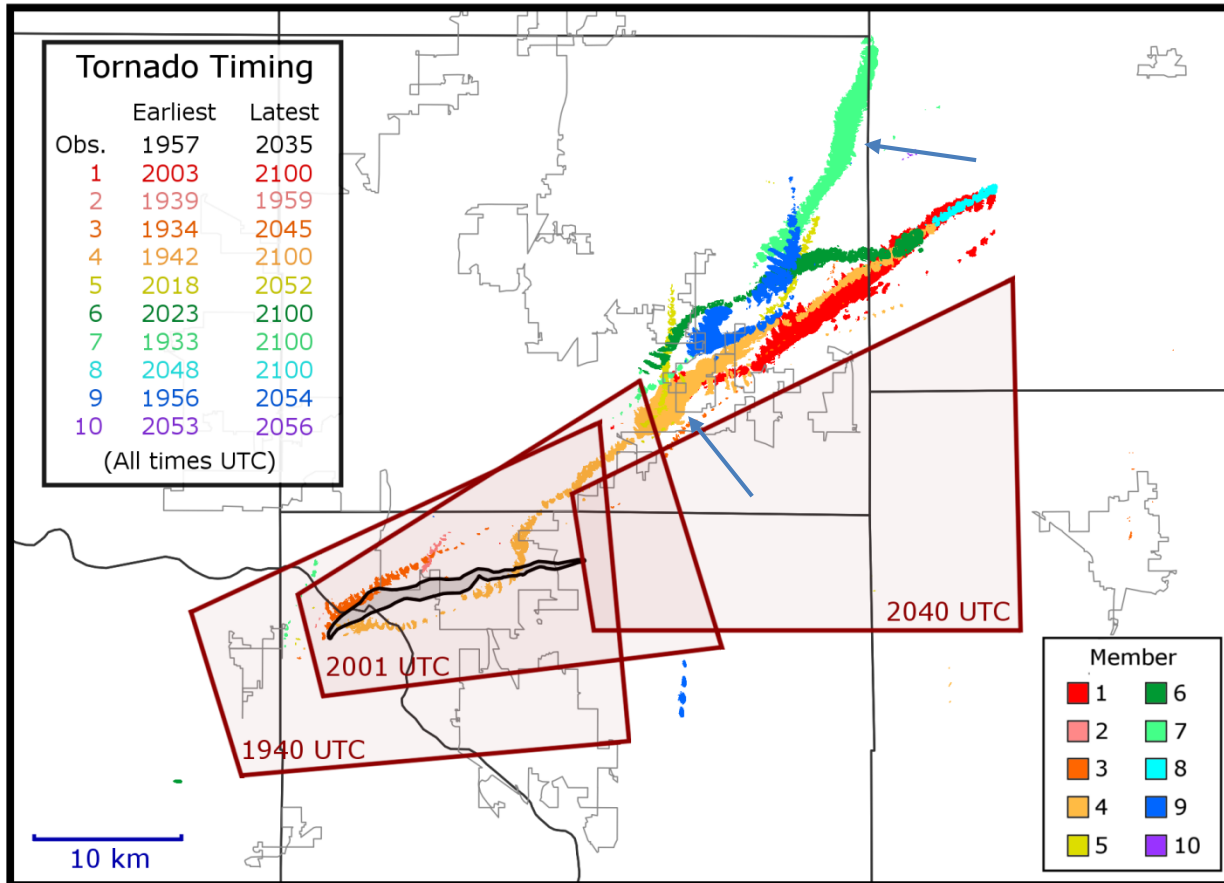
- Nonhydrostatic/fully compressible, no approximation to governing equations
- Numerical grid for discretization
  - Trend – grid based, quasi-uniform resolution over the sphere (MAPS, FV3, etc.); need to parallelize well for  $O(1000)$  grid.
- Accuracy, stability, conservation
  - Accuracy (truncation error) → **effective resolution**
  - Stability → damping of weak instability can lead to inaccuracy; stability also affects integration efficiency
  - Conservation → damping also affects conservation, but material/mass conservation must be preserved
- All reasonably constructed dynamic cores are accurate up to certain scale, the main question is at what scale?
- What resolution is needed to accurately predict local high-impact weather (heavy precipitation, severe winds, hail, tornado, etc)?

# Resolution Needs

- Enough resolution to allow explicit representation of convective cells (CA and CR models 1 to 4 km grid spacings), avoid Cu parameterization;
- How good are CA models in predicting heavy precipitation, tornadoes and hail? Is there major benefit in further increasing resolution, to, e.g., LES resolution?
- Bryan et al. (2003) suggested that  $O(100\text{m})$  is needed to simulate deep moist convection and associated turbulence (for SGS turbulence closure to work) although  $O(1\text{km})$  grid can be used for practical purposes (can still provide valuable information to forecasters)



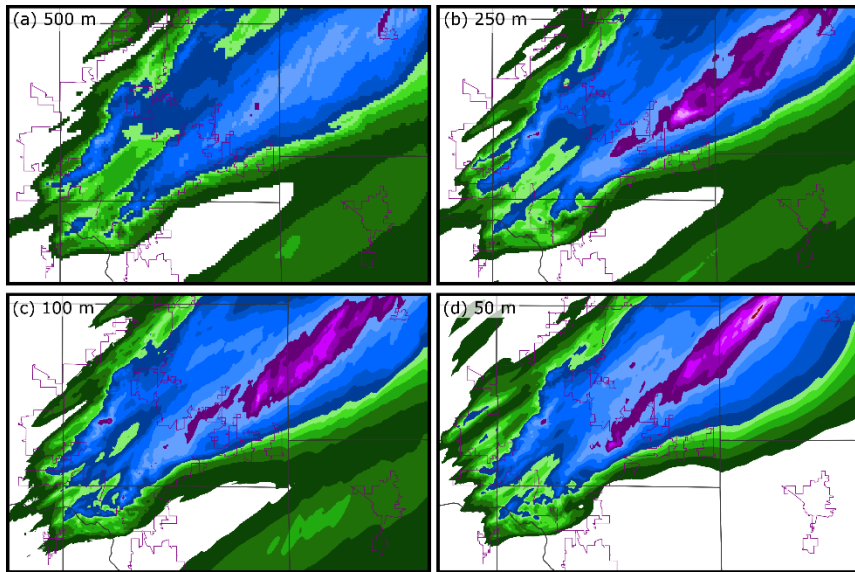
# Ensemble Prediction of May 20, 2013 Newcastle-Moore tornado at 50 m grid spacing with EnKF DA on 500 m grid



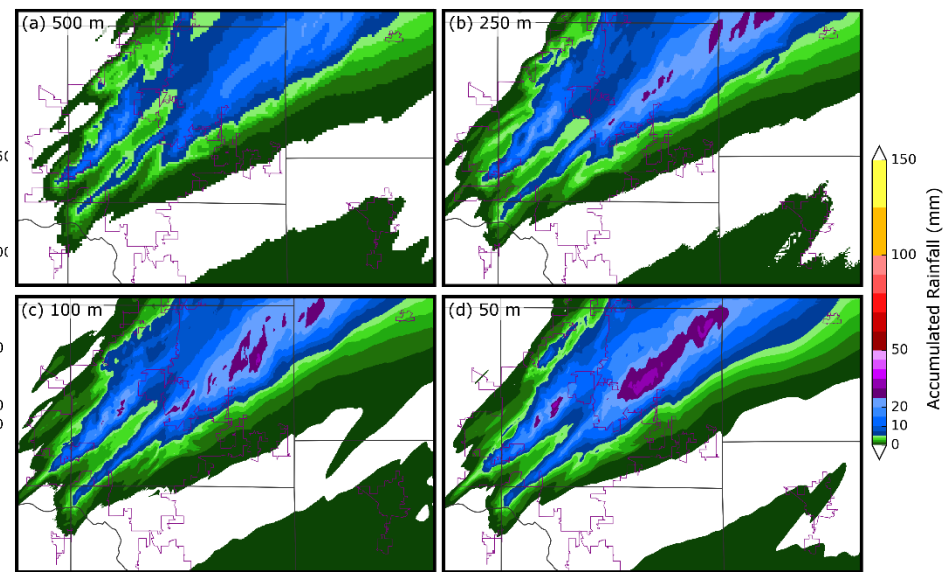
Swaths of surface wind speed exceeding the EF0 threshold ( $29 \text{ m s}^{-1}$ ) for each of the ten members of the 50 m ensemble. Tornado warnings issued between 1930 and 2100 UTC by the NWS Norman WFO, labelled by time of issuance, are plotted (dark red boxes) for comparison.

# 90 min Rainfall Accumulation at 500-50m Grid Spacings for May 20, 2013 Tornado Case (two ensemble members from EnKF IC)

Ensemble No. 4

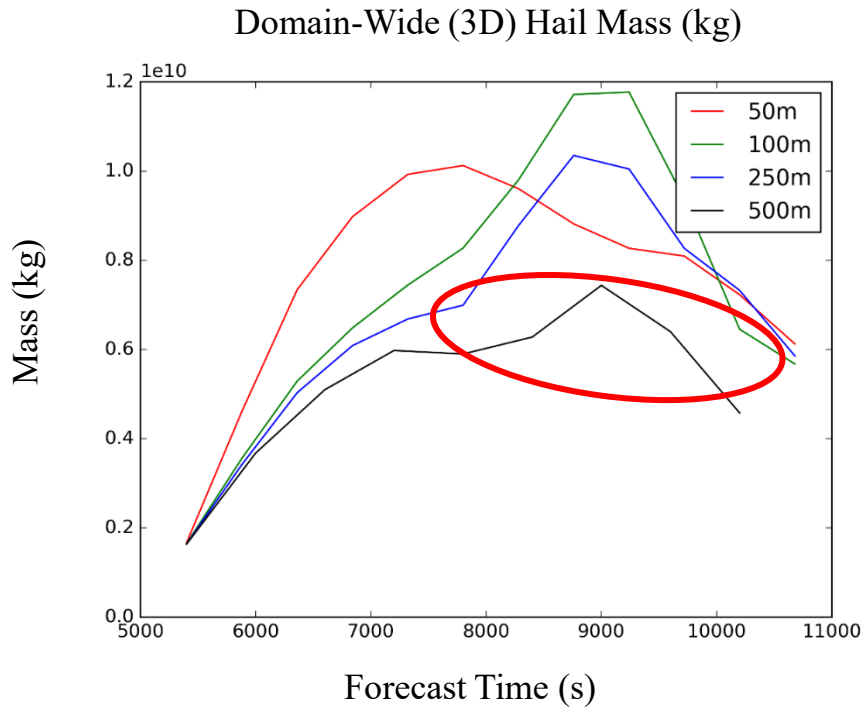


Ensemble No. 7

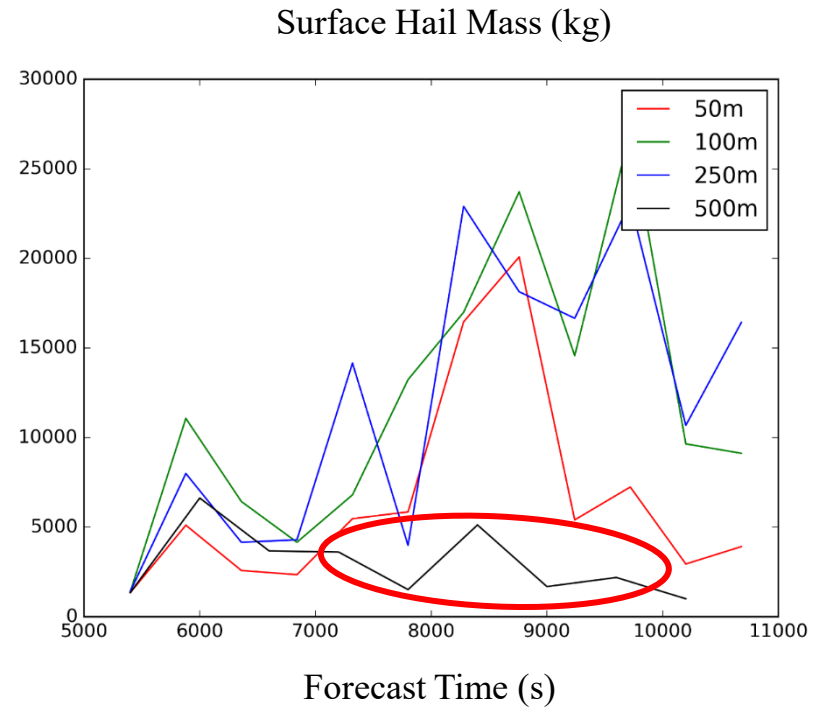


- Jump appears to be largest between 500 and 250 m.
- Precip generally increases with increasing resolution,
- Max difference can be  $>$  a factor 2.
- Milbrandt and Yau 2-moment scheme was used.

# Hail Mass in Ensemble No. 4 for May 20, 2013 Moore Tornadoic Storm Case



Fastest increase in 3D volume hail mass in  
50 m grid, lowest volume in 500 m grid.



Large jump in surface hail mass  
from 500 m to 250 m

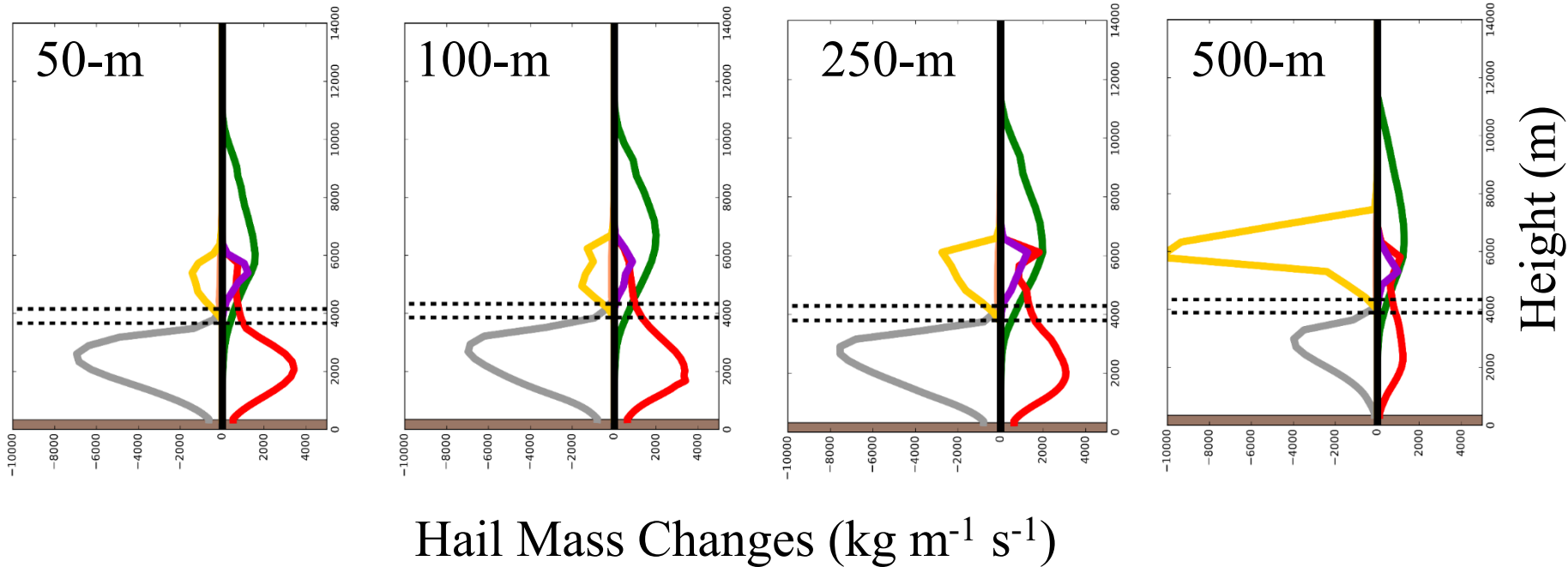
# Large differences in hail production terms between 50m and other grid spacings

Growth terms:

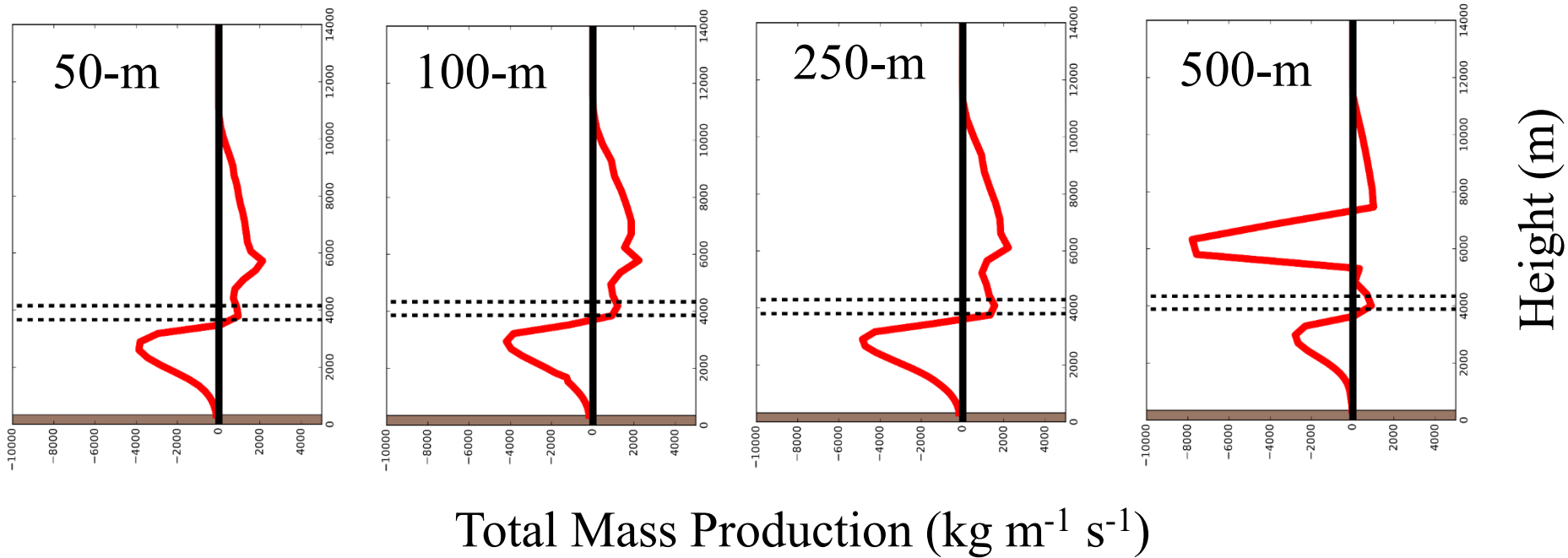
- Hail accreting cloud water
- Hail accreting rain
- Graupel conversion
- Three-component accretion

Decay terms:

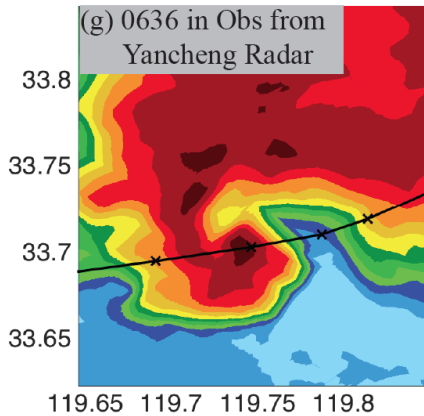
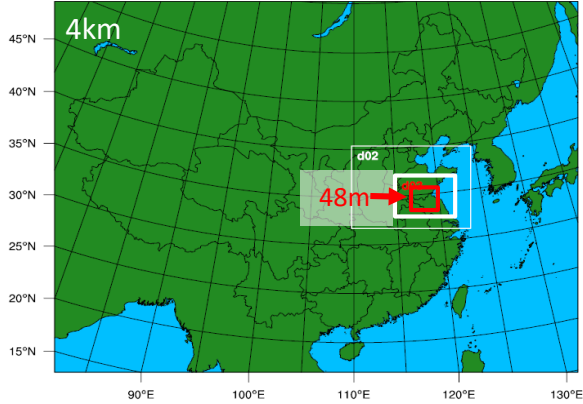
- Melting
- Hail shedding water
- Hail sublimation



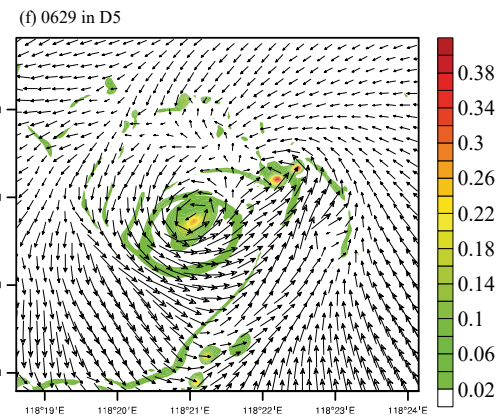
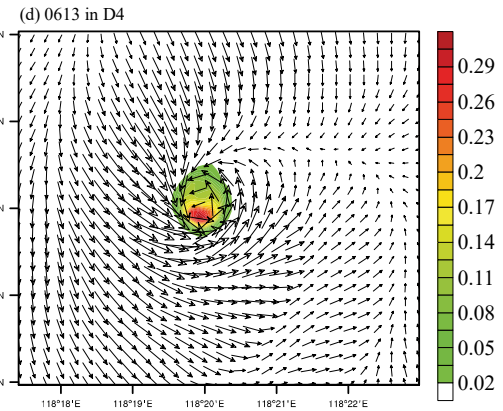
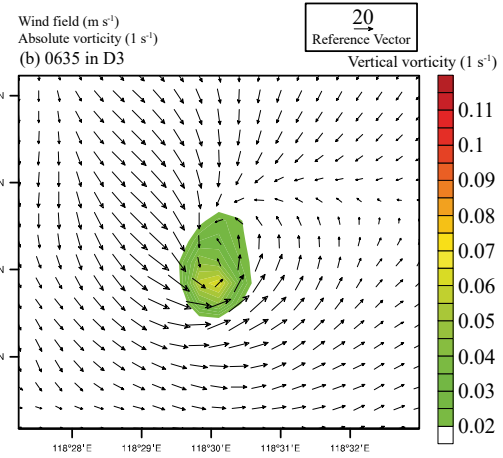
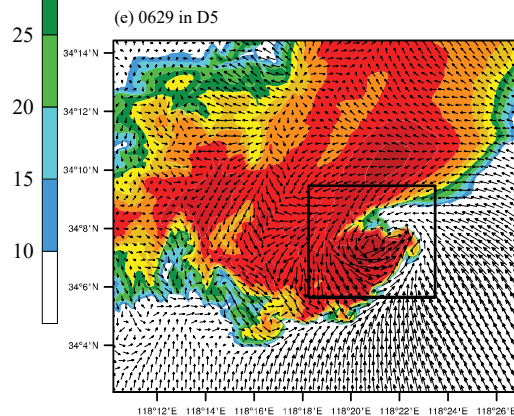
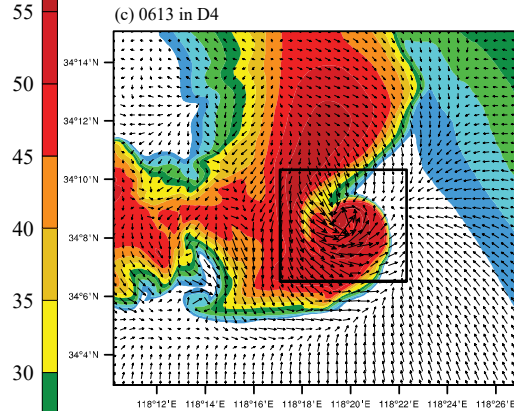
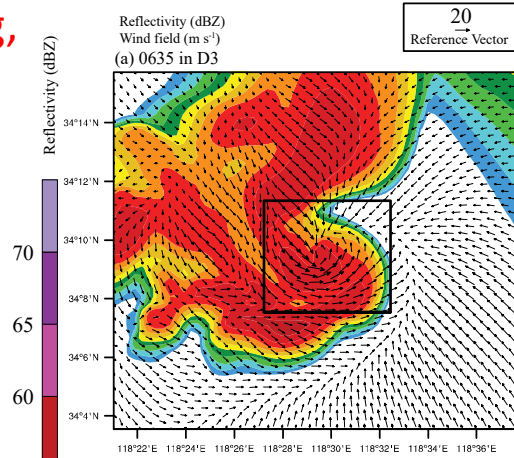
Large differences in total hail production are between 500m and other grid spacings



# Prediction of 6/23/2016 Funing, China Supercell Tornado, using different number of nesting levels reaching different resolutions



Observation



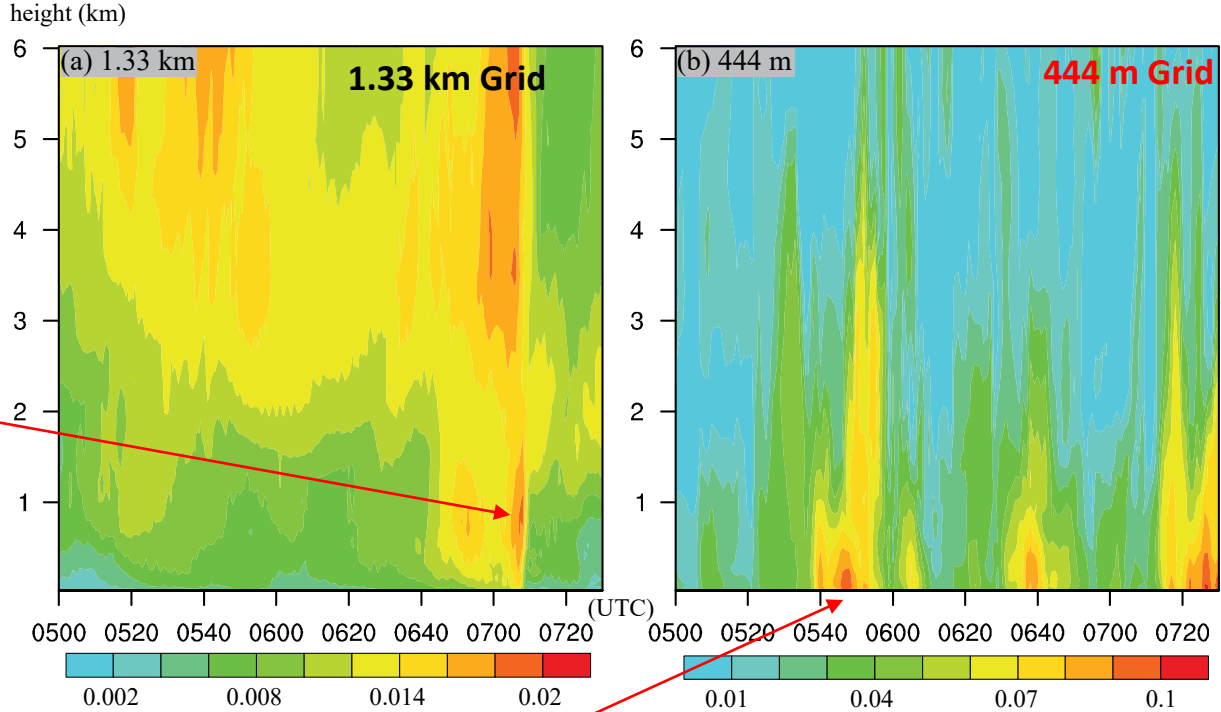
444 m Grid

148 m Grid

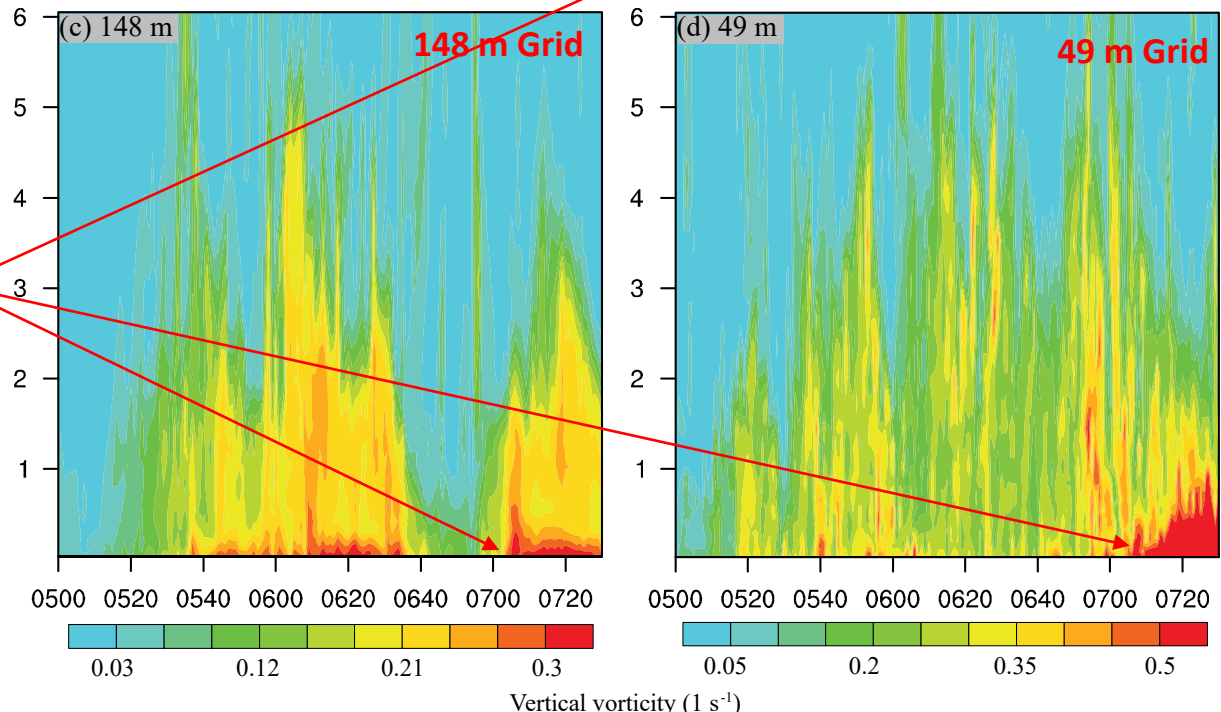
49 m Grid



Maximum vertical vorticity occurs at about 1km AGL, not at surface – no tornado vortex



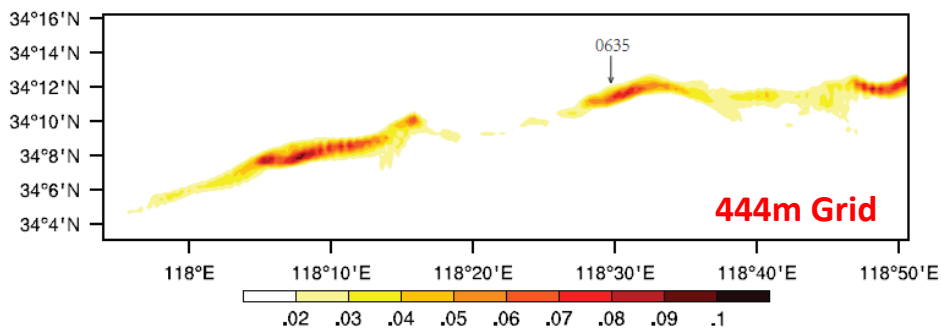
Maximum vertical vorticity occurs at Surface – tornado or tornado-like vortex!



# 1300-1500 LST Vorticity and Strong Wind Speed Tracks

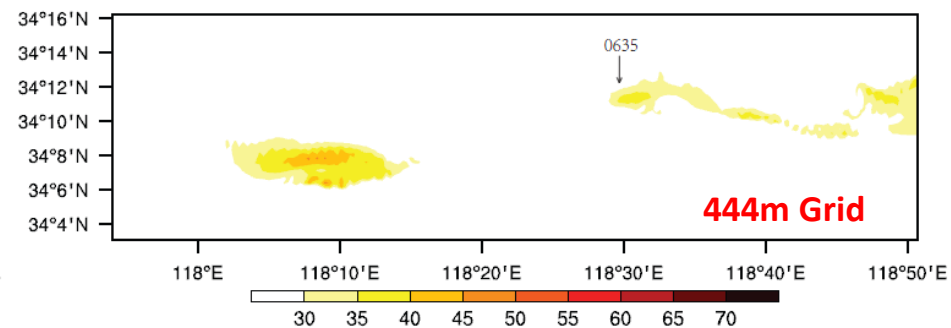
## Sfc Vorticity

(a) Vertical vorticity ( $s^{-1}$ ) in D3

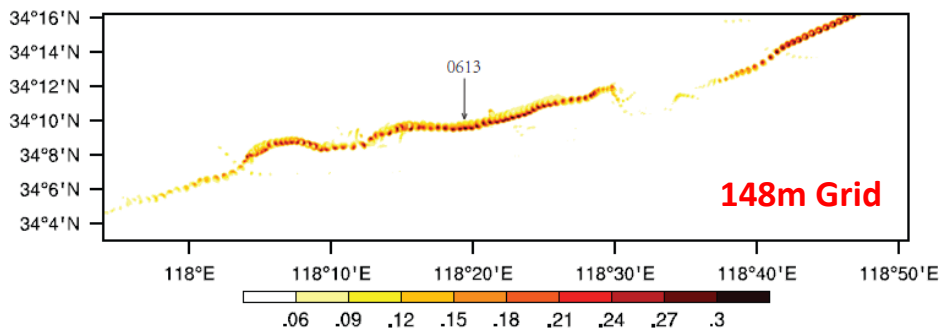


## Sfc Wind Speed

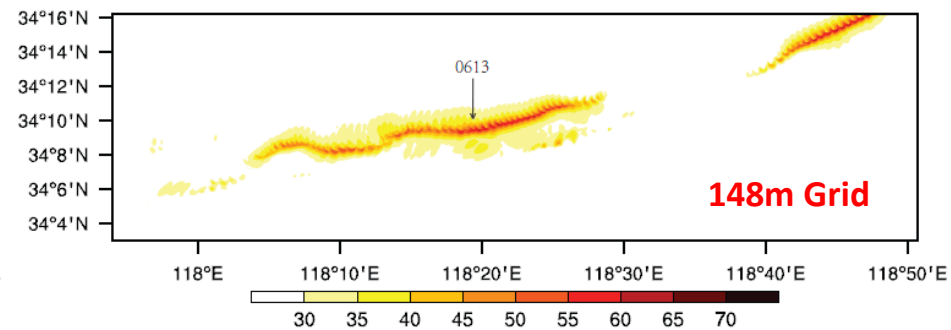
(b) Wind speed ( $m s^{-1}$ ) in D3



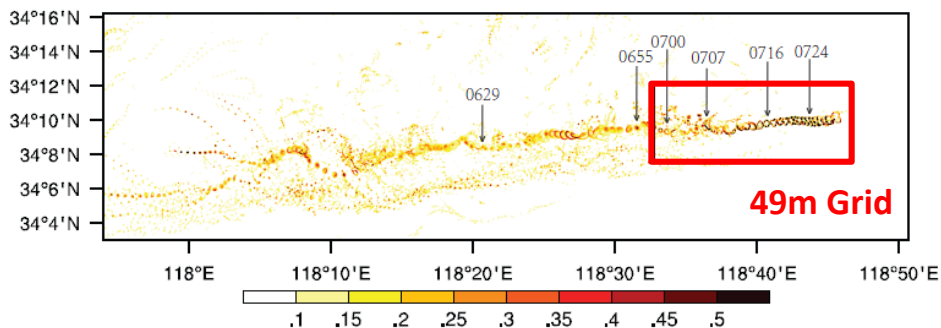
(c) Vertical vorticity ( $s^{-1}$ ) in D4



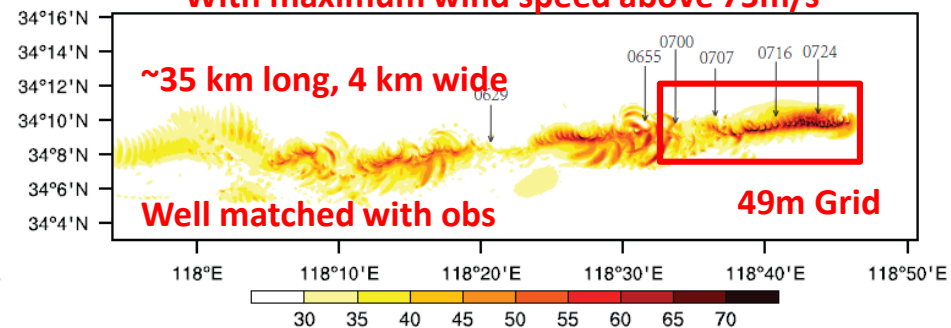
(d) Wind speed ( $m s^{-1}$ ) in D4



(e) Vertical vorticity ( $s^{-1}$ ) in D5



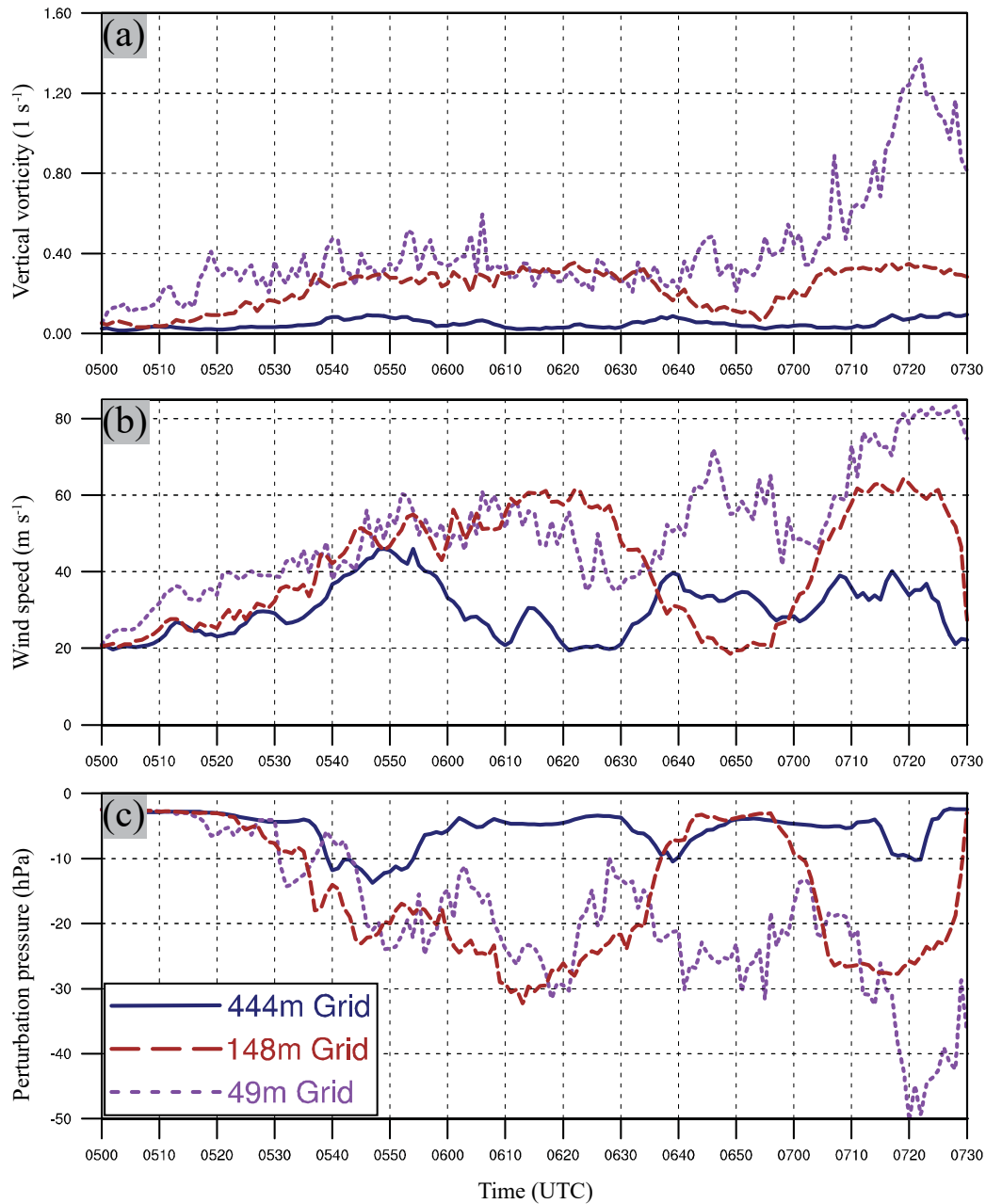
(f) Wind speed ( $m s^{-1}$ ) in D5





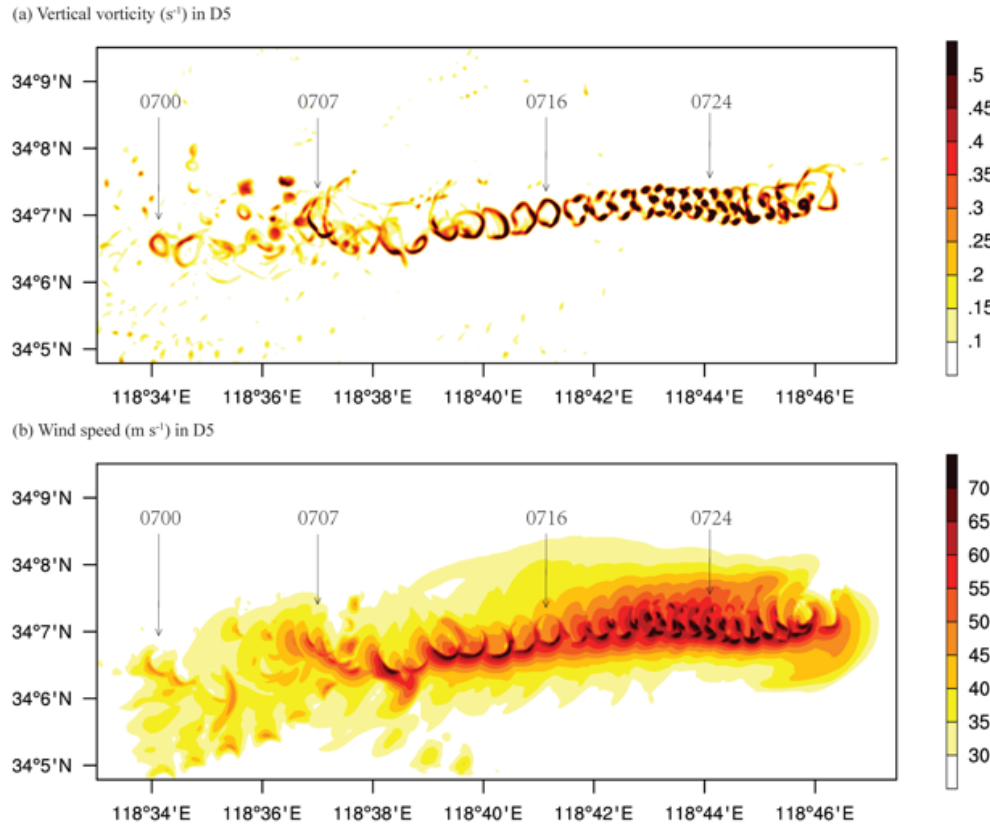
# Time Series of max/min values near surface

Resolution  
Dependency  
Of Simulated  
Tornado  
Intensity

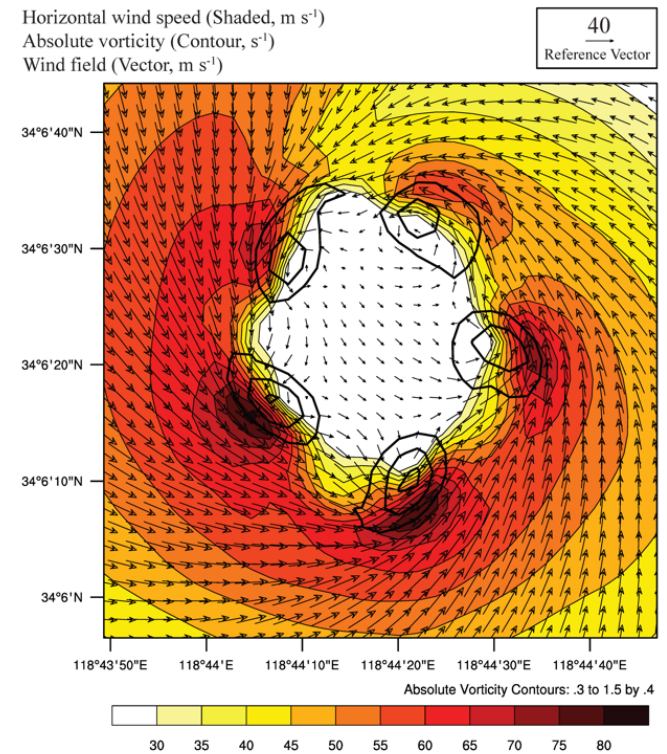


444m – EF1  
148m – EF2  
48m – EF4

# Sub-vortex scale structures requiring LES (~50 m) resolutions to resolve



**Figure S4.** Composite contour maps of (upper) vertical vorticity and (lower) wind speed at the first model level, in zoomed-in rectangles in Fig. 3(e) and (f), respectively. Fields every minute from 0700 through 0730 UTC 23 June 2016 are overlaid.



**Figure S3.** Horizontal cross section of horizontal wind speed (shaded,  $m s^{-1}$ ), vertical vorticity (thick contours,  $s^{-1}$ ) and horizontal wind vectors (plotted every grid interval or every 49 m) at 29 m AGL at 0724 from grid D5 of experiment D15.

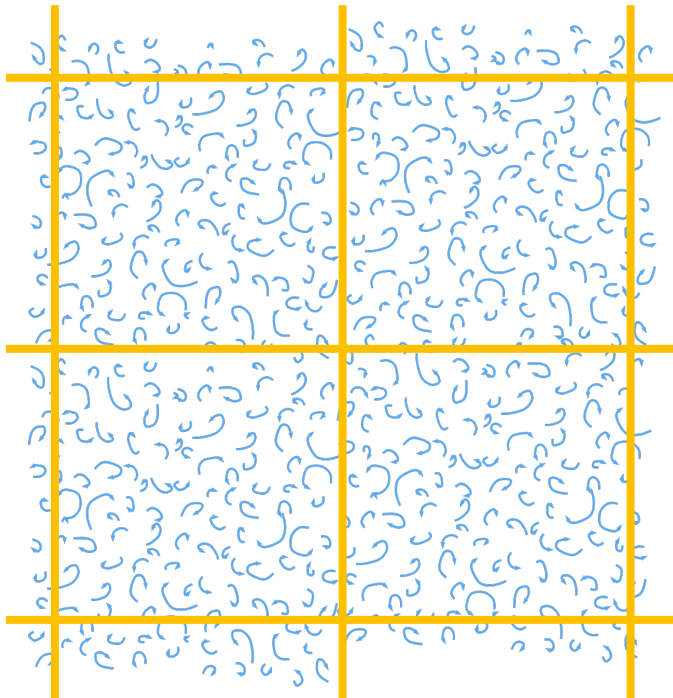
## Surface wind speed within a multi-vortex tornado

- Tornado-like vortex is simulated on 444 m grid;
- Subvortices that affect maximum wind speed do not form until 48 m is used (not on 148 m);
- However, CAM forecasts have been shown to have useful skill in predicting tornado potentials – mainly by using surrogate products such as those based on updraft helicity and near-surface vertical vorticity (e.g., Clark et al. 2013; Sobash et al. 2016)

# SGS Turbulence Closure

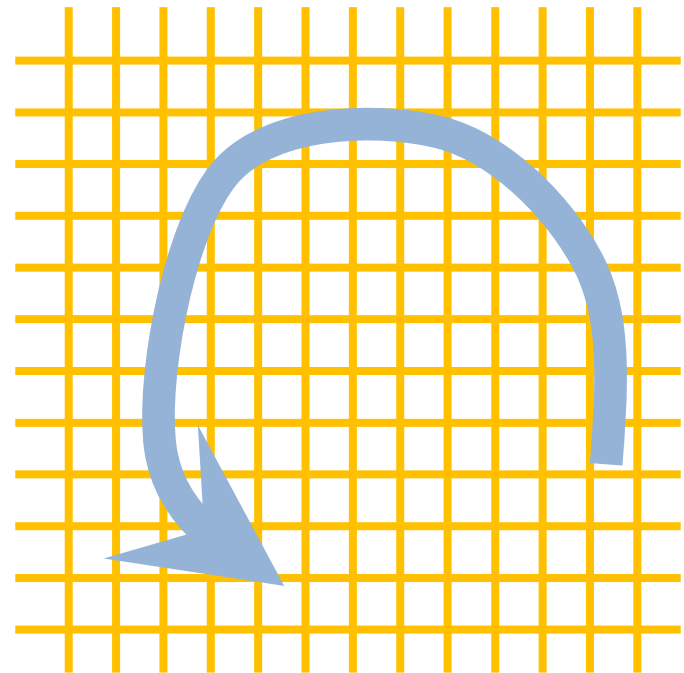
- Traditional SGS turbulence closures are really only suitable for when grid spacing is much smaller than the main features simulated; this is true for large eddy simulations.
- When grid spacing is much larger than turbulence eddies, such as in coarse-resolution models, BL turbulence fluxes (usually vertical only) are completely parameterized.

- **Mesoscale (PBL)**



$dx (\sim 10\text{km}) \gg L$

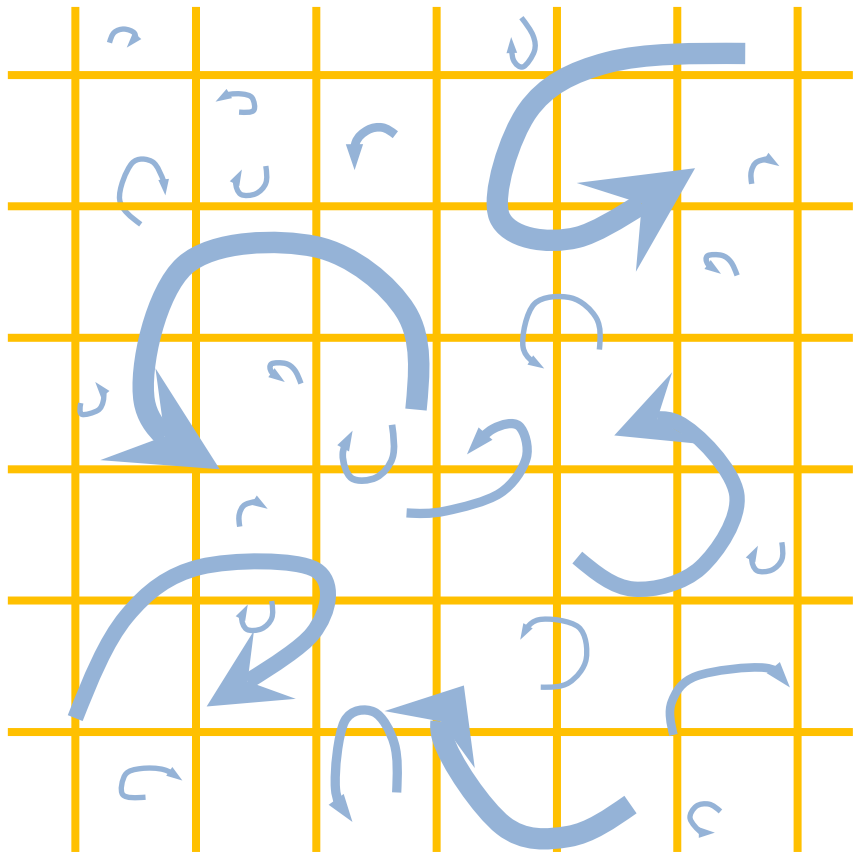
- **LES**



$dx (\sim 100\text{m}) \ll L$

# SGS Turbulence Closure

- CA and CR models:
  - Should consider horizontal turbulence fluxes also
  - Should consider ‘gray-zone’ effects, be scale aware
  - Should be able to model non-local counter-gradient fluxes
- Traditional SGS closures, such as Smagorinsky, TKE schemes are often used.



$$dx \sim L$$

# New SGS turbulence closure scheme based on series expansion and mixed scheme

- Moeng et al. (2010) proposed a mixed scheme consisting of the traditional K local gradient term and a term based on Taylor series expansion following Leonard (1997):

$$\tau_{wc} = -K_h \frac{\partial \tilde{c}}{\partial z} + 2 \left( \frac{\Delta_f^2}{12} \right) \left( \frac{\partial \tilde{w}}{\partial x} \frac{\partial \tilde{c}}{\partial x} + \frac{\partial \tilde{w}}{\partial y} \frac{\partial \tilde{c}}{\partial y} \right),$$

where  $K_h$  is provided by a conventional closure scheme such as TKE scheme



Verrelle et al. (2017) compared sub-filter-scale fluxes using K-local gradient scheme

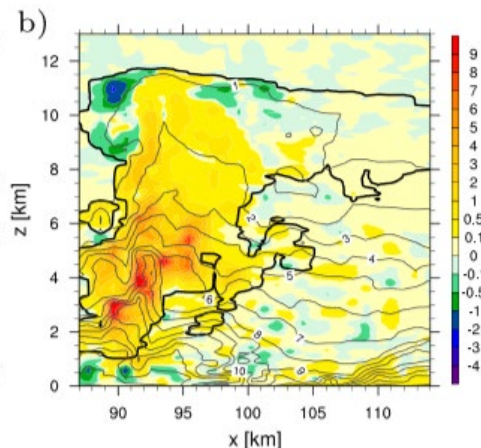
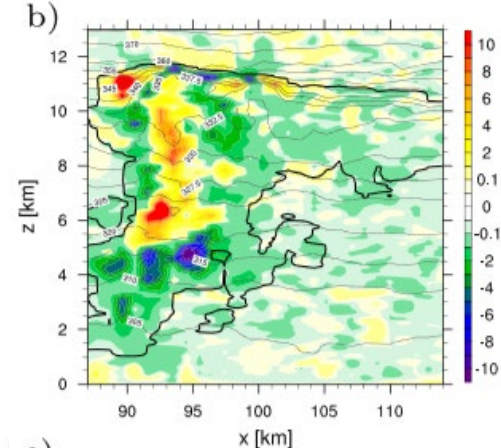
$$\tau_{wc} = -K_h \frac{\partial \tilde{c}}{\partial z}$$

and

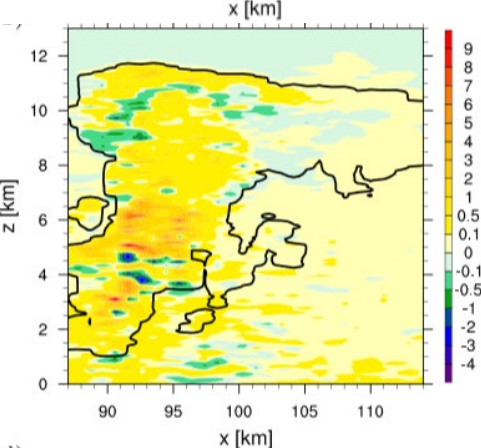
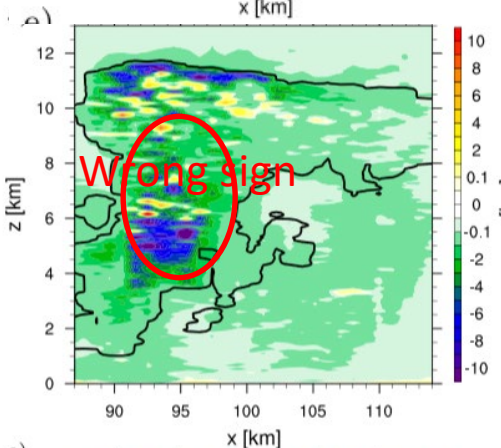
$$\tau_{wc} = 7 \left( \frac{\Delta_f^2}{12} \right) \left( \frac{\partial \tilde{w}}{\partial x} \frac{\partial \tilde{c}}{\partial x} + \frac{\partial \tilde{w}}{\partial y} \frac{\partial \tilde{c}}{\partial y} \right),$$

for deep convection based on filtered LES simulation data.

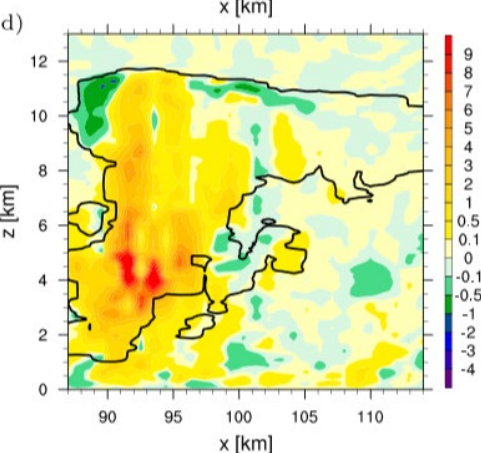
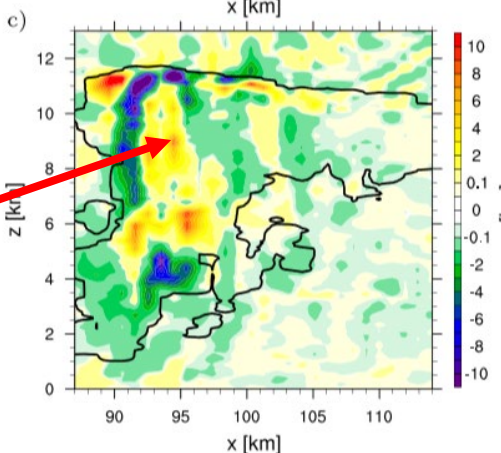
Upgradient heat fluxes in updraft region



LES calculations (truth)



$$\tau_{wc} = -K_h \frac{\partial \tilde{c}}{\partial z}$$



$$\tau_{wc} = 7 \left( \frac{\Delta_f^2}{12} \right) \left( \frac{\partial \tilde{w}}{\partial x} \frac{\partial \tilde{c}}{\partial x} + \frac{\partial \tilde{w}}{\partial y} \frac{\partial \tilde{c}}{\partial y} \right)$$

Turbulent heat flux

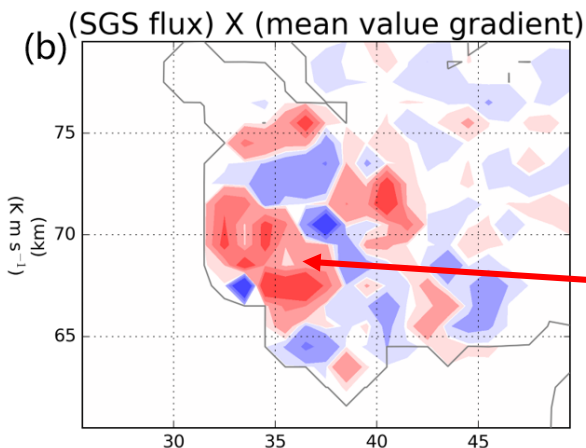
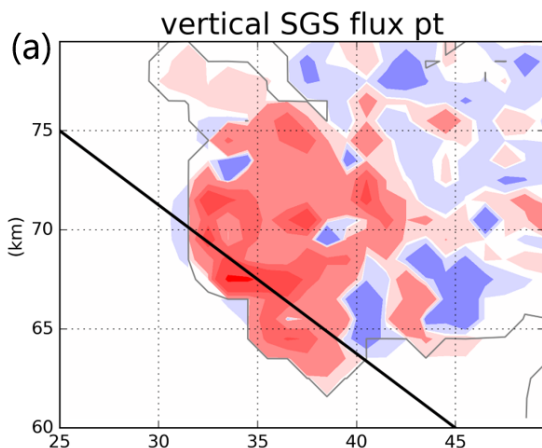
Turbulent  $q_{ci}$  flux

# $\overline{w'\theta'}$ offline comparisons for a supercell storm using 50 m LES data

Filtered  
LES  
Estimation  
(truth)

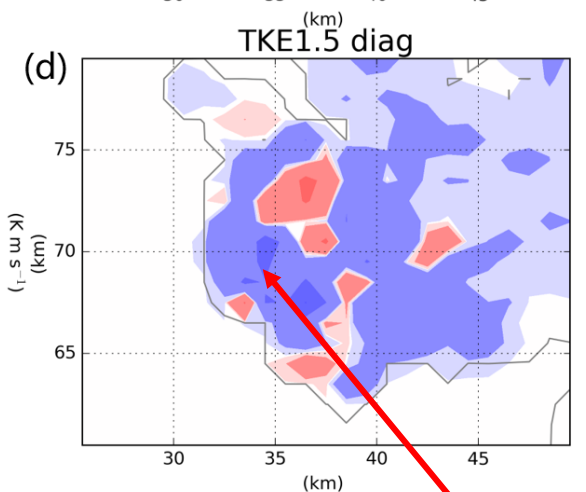
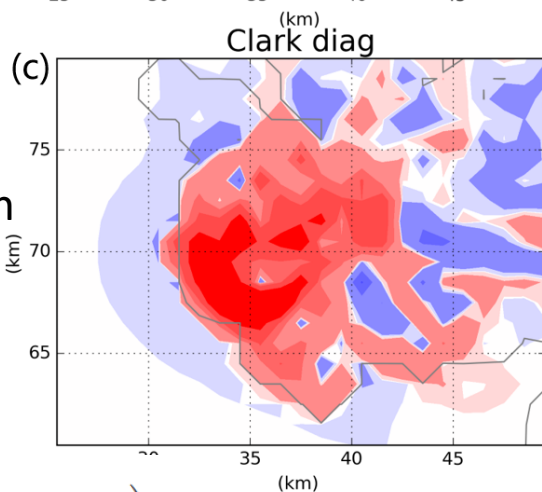
Series  
Expansion  
Formulation

T2400 CNTL\_1km 8km : pt



$$\overline{w'\theta'} \cdot \frac{\partial \theta}{\partial z}$$

Positive  
means  
upgradient  
flux



$$\tau_{wc} = -K_h \frac{\partial \tilde{c}}{\partial z}$$

$K_h$  from  
TKE scheme

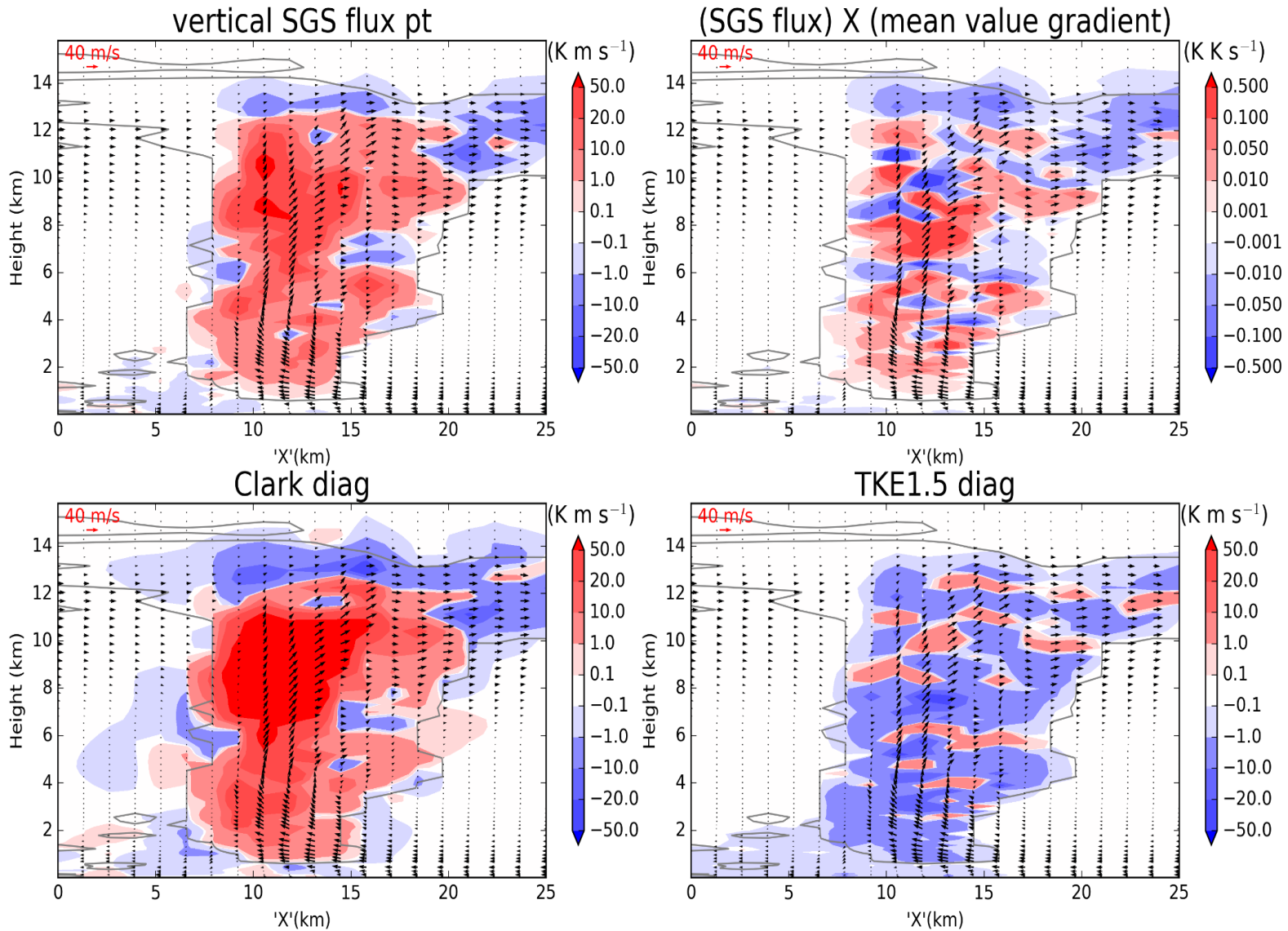
$$\tau_{wc} =$$

$$12 \left( \frac{\Delta_f^2}{12} \right) \left( \frac{\partial \tilde{w}}{\partial x} \frac{\partial \tilde{c}}{\partial x} + \frac{\partial \tilde{w}}{\partial y} \frac{\partial \tilde{c}}{\partial y} \right),$$

Incorrect sign – fails to represent  
Upgradient fluxes

# Offline comparisons of $\overline{w'\theta'}$ for a supercell storm using 50 m LES data

T2400 CNTL\_1km [25, 75]~[45, 60] : pt

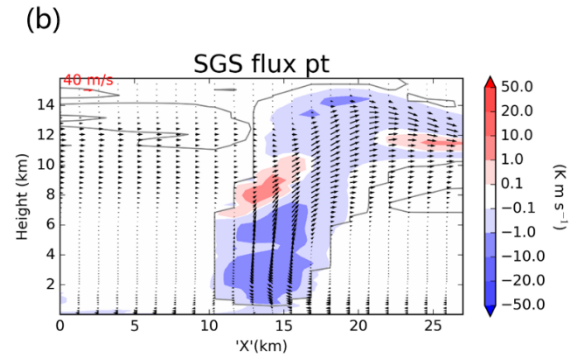
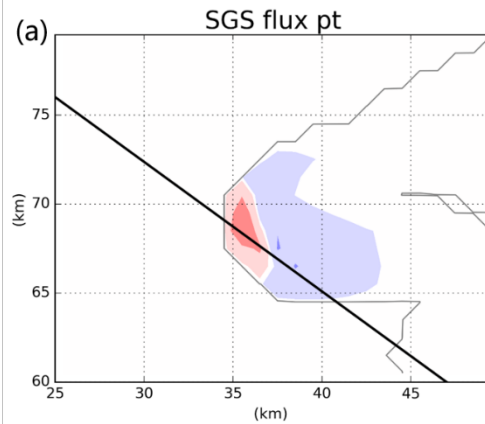




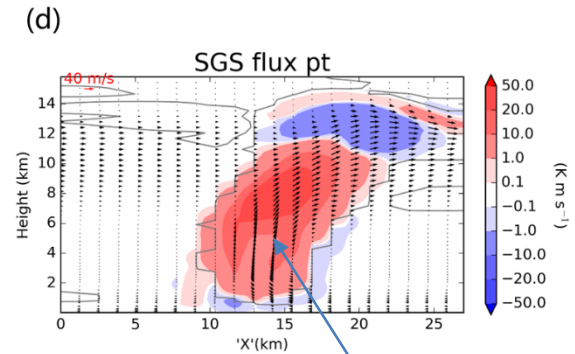
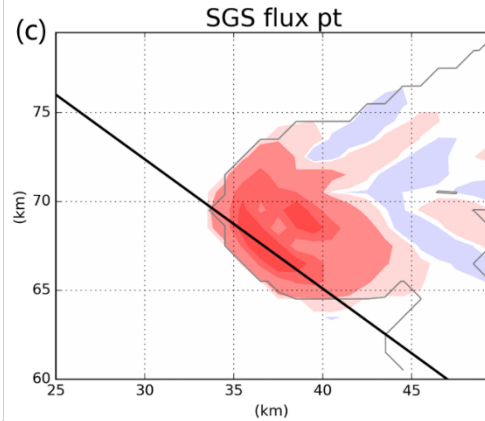


Results of actual 1 km simulations using different schemes

TKE scheme

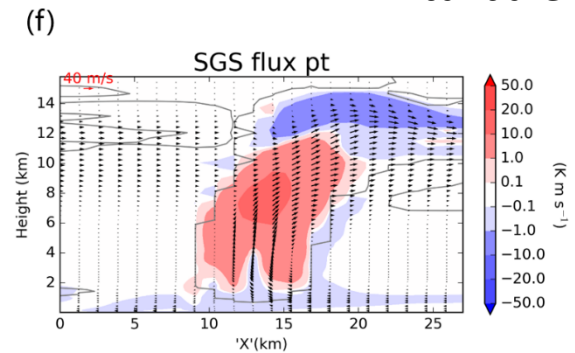
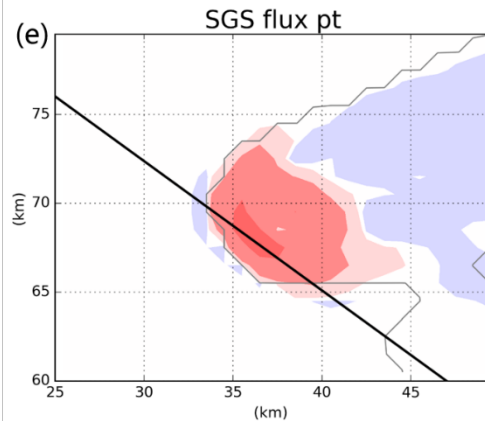


Series Expansion



Upgradient SGS turbulent fluxes

Mixed scheme



# PBL Parameterizations

- Prediction of convective weather has great sensitivity to PBL parameterization, because it directly affects boundary layer structures and therefore important low-level storm environment;
- There exists a high level of uncertainties with PBL parameterization, leading to a proliferation of PBL schemes.
- WRF model alone has at least ten PBL schemes (MRF, YSU, MYJ, QNSE, MYNN, BouLac, GBM, UW, ACM, ACM2, TEMF) – see review by Cohen et al. (2015);
- These schemes can be classified as local, non-local, hybrid local/non-local schemes;
- PBL schemes are closely coupled with surface layer fluxes, which have their uncertainties.

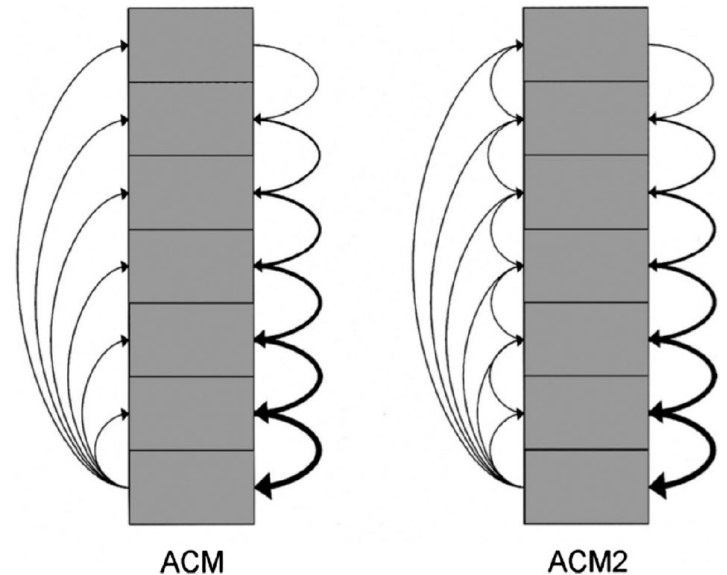


FIG. 2. Depiction of the mechanics of the ACM and ACM2 schemes regarding PBL interactions [from Pleim (2007a)]. Arrows depict exchanges of atmospheric quantities between various layers within the simulated PBL.

# PBL Parameterizations

- It is well known that in convective boundary layer, there exists upgradient heat fluxes in the upper portion of the BL, corresponding to slightly stable theta profile;
- A counter-gradient  $\gamma$  term is included in, e.g., the ‘Non-local’ YSU scheme to account for the effect;

$$\overline{w'\theta'} = -K_h \cdot \left( \frac{\partial \bar{\theta}}{\partial z} - \gamma \right)$$

- When BL eddies are partially resolved, we fall into the gray zone or terra incognita – scale aware PBL schemes have been designed that parametrizes increasingly less eddy mixing;
- A new PBL scheme was developed by [Shin and Hong \(2015\)](#), which inherited YSU’s treatment for local downgradient eddy fluxes, but the counter-gradient heat flux term was replaced with the nonlocal heat flux profile fitted to LES results. Scale-awareness is added by scaling both local and nonlocal eddy fluxes based on normalized grid spacing ( $\Delta_* = \Delta/z_i$ ).

# Nonlocal and Local heat fluxes derived from LES data for different grid spacing

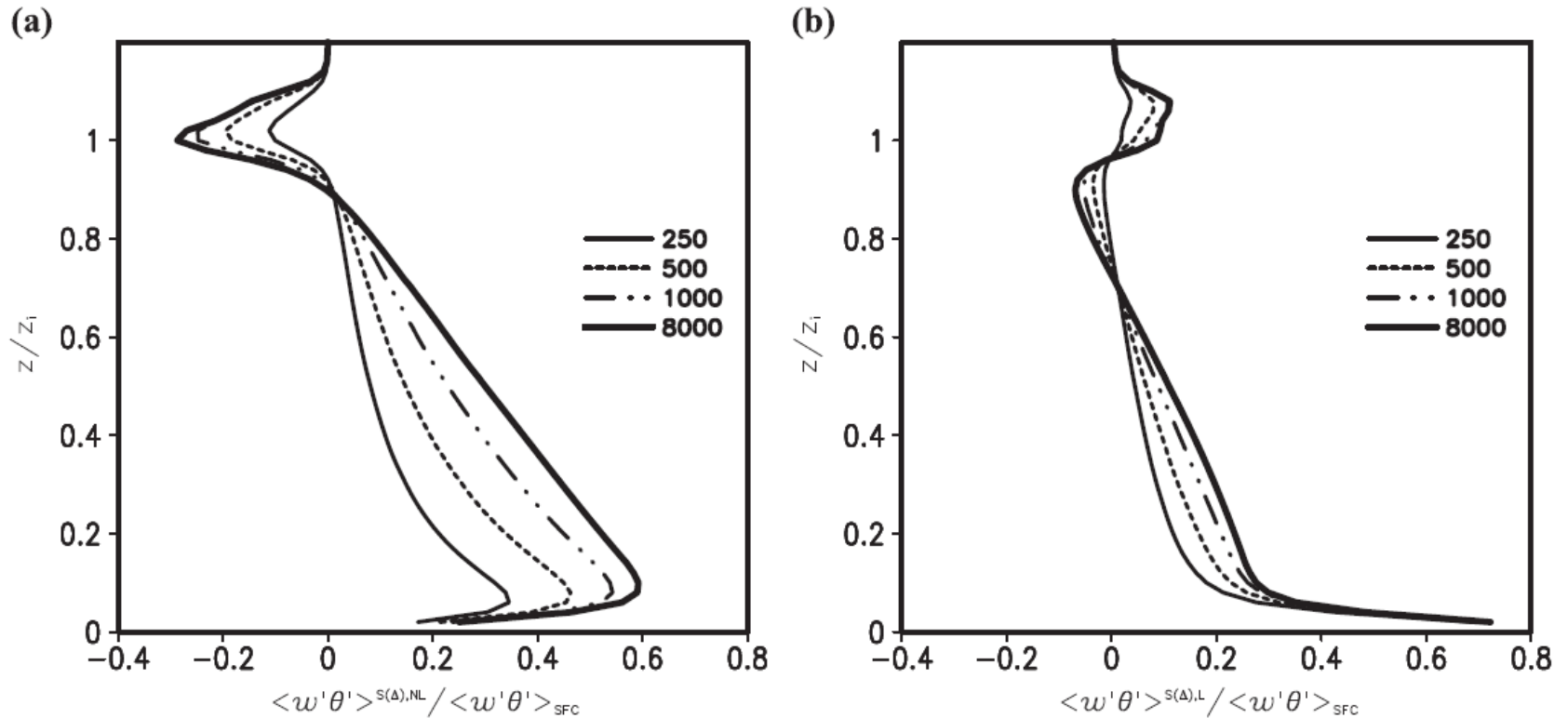


FIG. 1. Vertical profiles of the domain-averaged (a) SGS nonlocal and (b) SGS local heat transports for  $\Delta = 250$  m (thin solid), 500 m (thin dotted), 1000 m (thin dot-dot-dashed), and 8000 m (thick solid), normalized by surface heat flux.

# Fitted nonlocal heat flux profile and grid-size dependency function and of Shin and Hong (2015) scheme

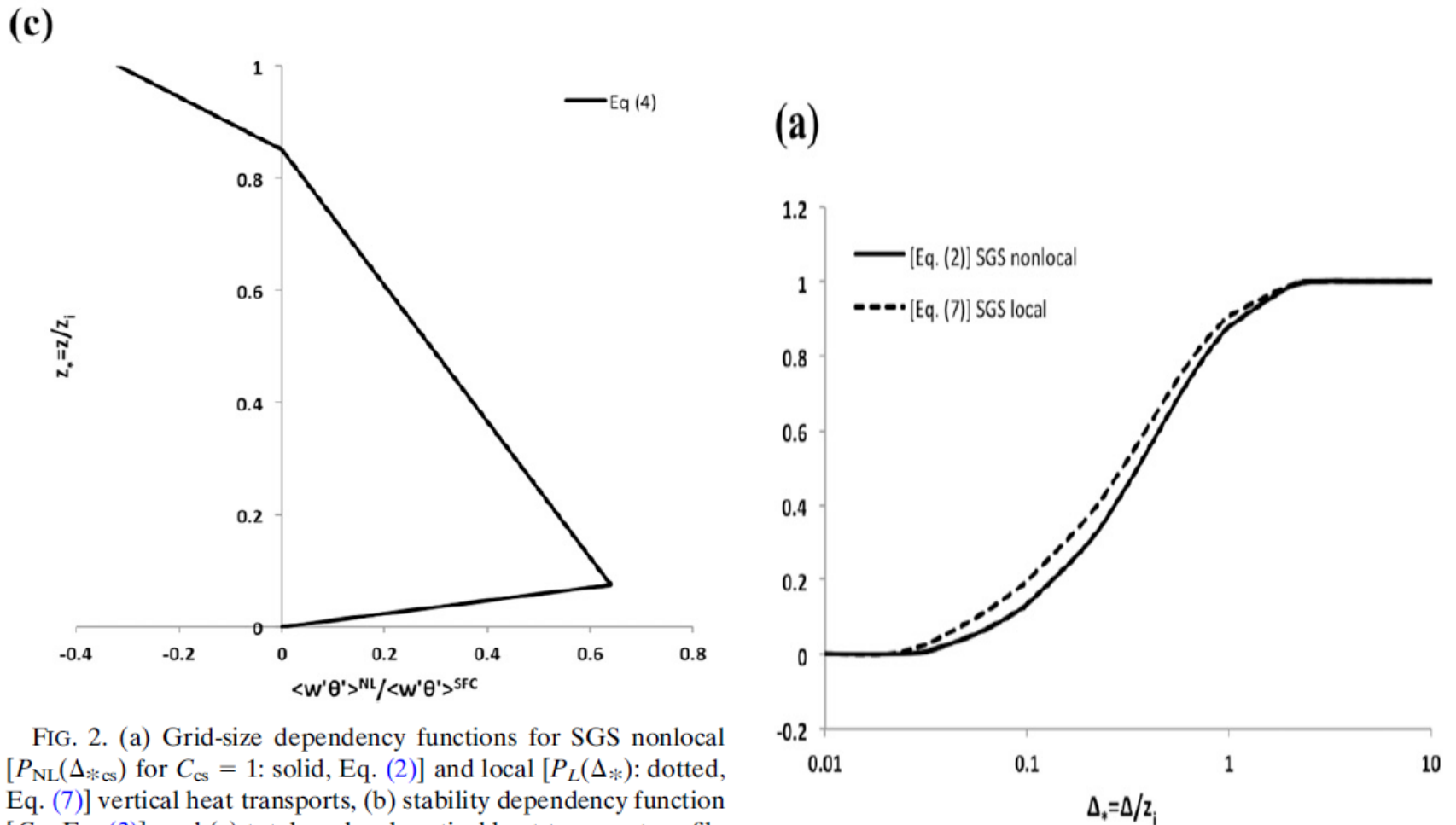


FIG. 2. (a) Grid-size dependency functions for SGS nonlocal [ $P_{NL}(\Delta_{*cs})$  for  $C_{cs} = 1$ : solid, Eq. (2)] and local [ $P_L(\Delta_{*})$ : dotted, Eq. (7)] vertical heat transports, (b) stability dependency function [ $C_{cs}$ : Eq. (3)], and (c) total nonlocal vertical heat transport profile [Eq. (4)].

# Sensitivity to Nonlocal Flux Profile

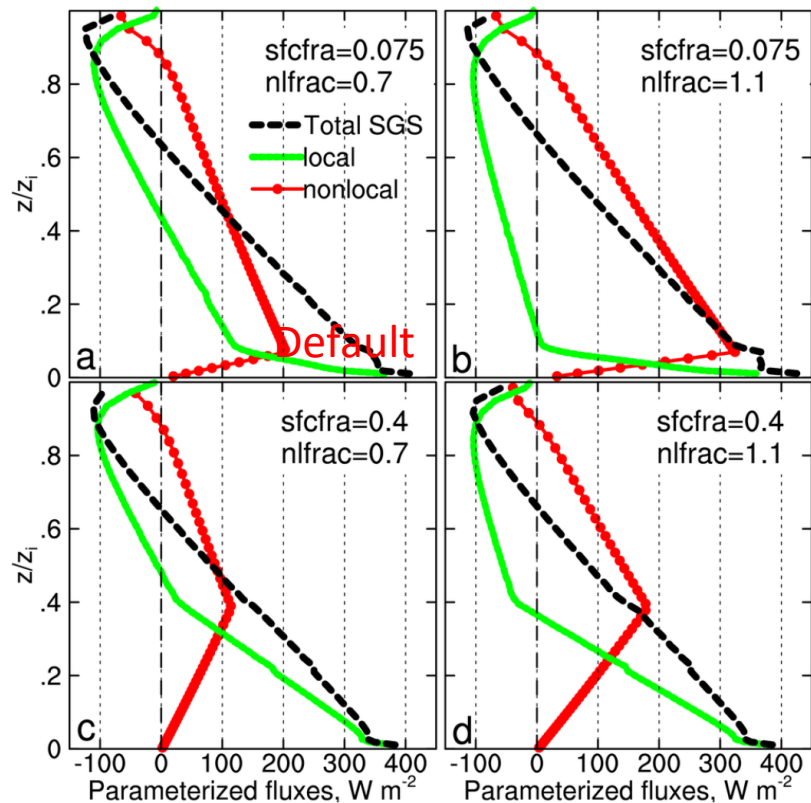


Figure 6. Flux profiles simulated with the SH scheme with different  $sfcfra$  and  $nlfrac$ .

$sfcfra$  : normalized height of the surface layer where nonlocal flux increases linearly with height.  
 $nlfrac$  : ratio of nonlocal heat flux to total heat flux at the top of the surface layer.

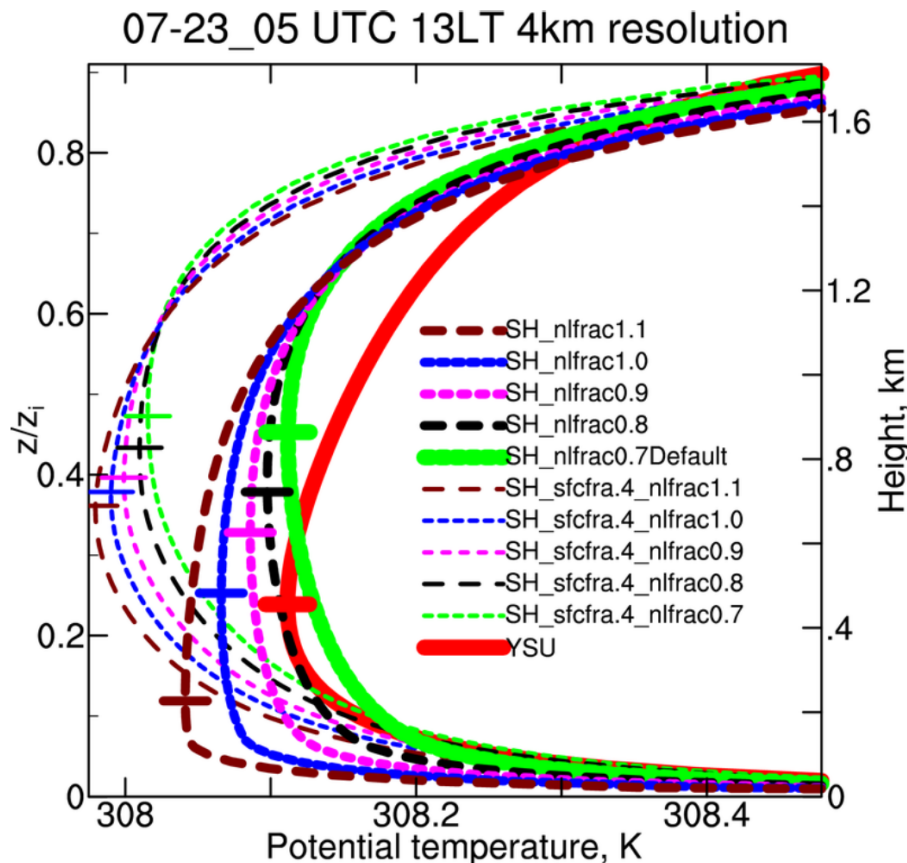


Figure 5. Simulated profiles of potential temperature using the WRF single-column model with the YSU, SH schemes and SH variants with adjusted  $sfcfra$  and  $nlfrac$ . The adjusted values are shown in the legend.

# 3D WRF Simulations for 14 Cases over Beijing in 2010 at 27/9/3 km grid spacings

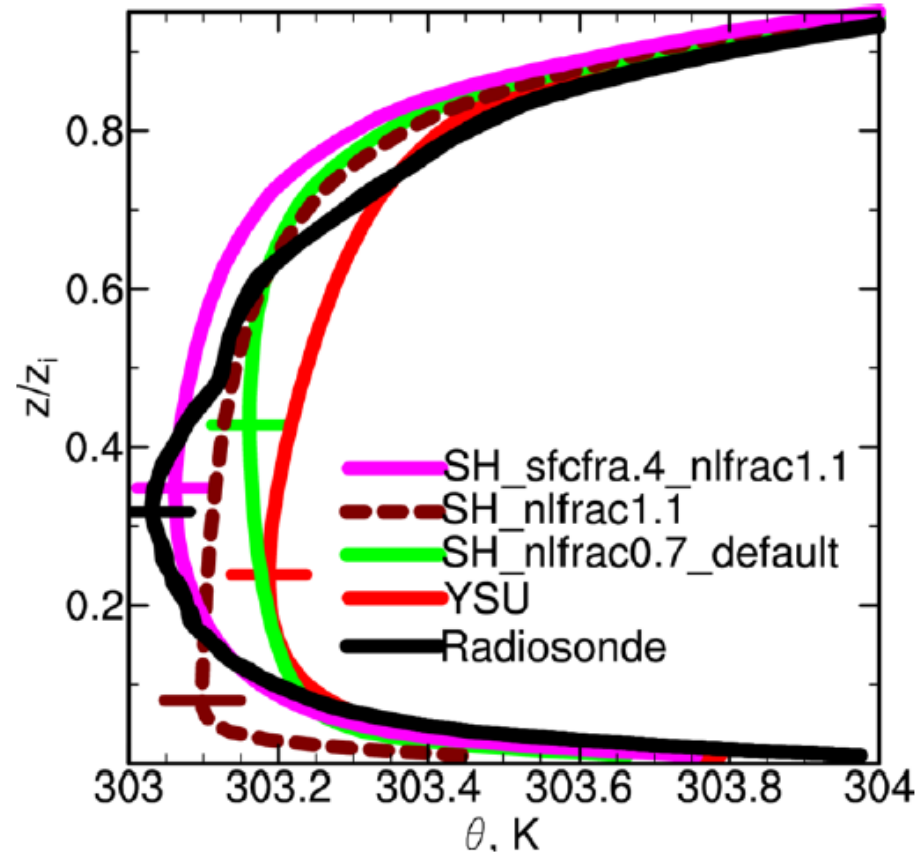


Figure 10. Simulated and observed composite profiles of potential temperature ( $\theta$ ) for all the 14 cases in 2010

# PBL and SGS Turbulence Parameterizations

- There exist large uncertainties with PBL and SGS Turbulence Parameterizations;
- Modeling of deep moist convection at  $O(1\text{km})$  grid spacing calls for more realistic SGS turbulence closure schemes that can correctly model upgradient fluxes;
- Newer scale-aware PBL schemes can introduce additional uncertainties that require further tuning and testing;
- Stable boundary layer parameterization is an even bigger challenge;
- A unified 3D scale-aware PBL/SGS turbulence closure that include fluxes in all three directions should be developed;
- Given that uncertainties may be unavoidable, carefully designed stochastic perturbations may be necessary to facilitate ensemble forecasting.



# Comparisons between downscaled IC, Multiple Physics, SKEB and SPPT perturbations for a squall line case

- Multiple physics had been commonly employed in convective-scale ensemble, and have been shown to clearly improve ensemble spread.
- Stochastic kinetic energy backscatter (SKEB) had been shown to produce ensemble spread comparable to those produced by multiple physics, for CAM-resolution forecasts, and the combination of SKEB and multiple physics yield better results in Duda et al. (2012) although the study did not include IC and LBC perturbations.
- The relative contributions to spread growth from IC, PHY, SKEB and SPPT within CAM ensembles need to be better studied.
- Johnson and Wang (2016) studied the role of IC perturbations generated by a convective-scale EnKF and suggested positive contributions of convective-scale IC perturbations on first few hours of forecasting.

Man Zhang, et al (2018 under preparation)